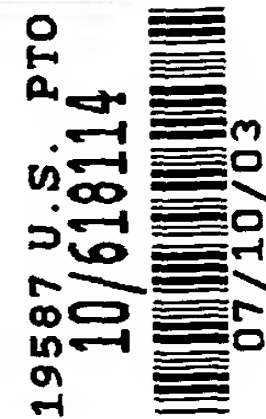


**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No. : 50420/DBP/T360  
Inventor(s) : Hidenori Takahashi; Masashi Usami; and Kohsuke Nishimura  
Title : RING RESONATOR  
Express Mail Label No. : EV351234759US



**ADDRESS TO:** Commissioner for Patents  
Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: July 10, 2003

1. ☒ **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing*).

2. **IF A CONTINUING APPLICATION**

\_\_\_ This application is a \_\_\_ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

\_\_\_ This application claims the benefit of provisional Application No. pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4).

3. **APPLICATION COMPRISED OF**

**Specification**

13 Specification, claims and Abstract (total pages)

**Drawings**

7 Sheets of formal drawing(s) (FIGS. 1 to 9)

**Declaration and Power of Attorney**

☒ Newly executed

\_\_\_ Unexecuted declaration

\_\_\_ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. \_\_\_ **Microfiche Computer Program** (*Appendix*)

5. \_\_\_ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

\_\_\_ Computer Readable Copy

\_\_\_ Paper Copy (identical to computer copy)

\_\_\_ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

\_\_\_ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

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Docket No.: 50420/DBP/T360

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**7. ALSO ENCLOSED ARE**

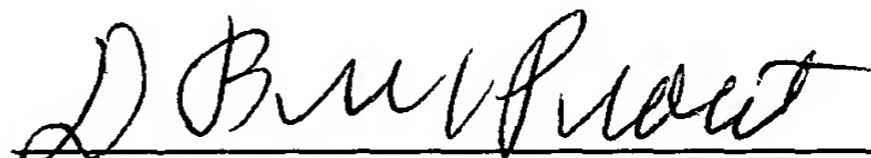
- ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) **(Request for Non-Publication)**
- ☐ Preliminary Amendment
  - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☒ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☒ Information Disclosure Statement (IDS)/Form PTO/SB/08A/B
  - ☒ Copies of IDS Citations
- ☒ Certified copy of Priority Document(s) *(if foreign priority is claimed)*
- ☐ English Translation Document *(if applicable)*
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

**CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068**  
**Customer Number: 23363**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: July 10, 2003**

Docket No. : 50420/DBP/T360

Inventor(s) : Hidenori Takahashi; Masashi Usami; and Kohsuke Nishimura

Title : RING RESONATOR

Duplicate X

**FEE DETERMINATION**

CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	7 - 20	0	x \$9.00	0 x \$18.00	\$0.00
INDEPENDENT CLAIMS	2 - 3	0	x \$42.00	0 x \$84.00	\$0.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	\$0.00
BASIC FEE			\$375.00	\$750.00	\$750.00
TOTAL FILING FEE					\$750.00
List Independent Claims: 1 and 4					

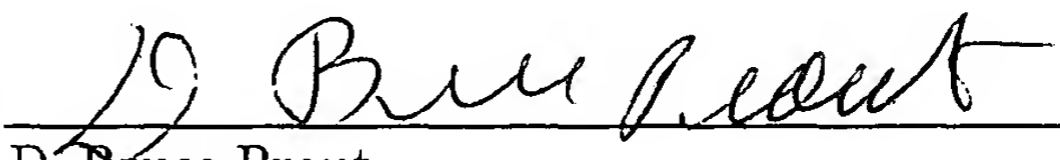
**METHOD OF PAYMENT**

X Payment Enclosed: Check for \$750.00

X The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
D. Bruce Prout  
Reg. No. 20,958  
626/795-9900

DBP/aam

AAM PAS514494.1-\* 7/10/03 10:22 AM